#### ARPA-E PROJECT SELECTIONS – MODERN ELECTRO/THERMOCHEMICAL ADVANCES IN LIGHT-METAL SYSTEMS (METALS)

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These projects have been selected for negotiation of awards; final award amounts may vary.

#### METALS Technical Approach: Primary Production - Aluminum

| Lead Research<br>Organization  | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title<br>Project Description  |
|--------------------------------|-------------|---|---|
| Alcoa,<br>Inc.                 | \$3,167,029 | Alcoa Center,<br>PA                               | Advanced Aluminum Electrolytic Cell<br>with Power Modulation and Heat Recovery  |
|                                |             |   | Alcoa will develop a highly advanced electrochemical system<br>for low-cost and energy-efficient aluminum production. The<br>current production process is energy intensive and loses a<br>large amount of thermal energy. This advanced system will<br>incorporate a high-cycle-life electrode that consumes less<br>electricity while incorporating molten glass technology, which<br>captures and reuses lost heat. If successful, Alcoa's<br>electrochemical system will produce bulk aluminum that<br>requires less energy with lower carbon emissions compared to |
|                                |             |   | the conventional process.   |
| Gas<br>Technology<br>Institute | \$807,426   | Des Plaines,<br>IL                                | Dual Electrolyte and Electrolytic Membrane Extraction<br>for Aluminum Production  |
| (GTI)                          |             |   | Gas Technology Institute (GTI) will develop a new<br>electrochemical process that uses abundant, domestic ores to<br>produce aluminum powder at near room temperature.<br>Current domestic aluminum smelters use expensive foreign-<br>sourced ore to produce aluminum, and operate at high<br>temperatures with a significant amount of thermal energy<br>loss. GTI's unique electrochemical process will require less<br>energy and produce fewer carbon dioxide emissions than<br>conventional smelters.   |
| Infinium,<br>Inc.              | \$1,000,000 | Natick,<br>MA                                     | Efficient Aluminum Production using Pure Oxygen Anodes<br>Infinium will develop an electrochemical aluminum extraction<br>process using pure oxygen anodes. Infinium's anode<br>technology will eliminate the toxic and corrosive<br>contamination associated with conventional extraction<br>methods, which will dramatically reduce energy losses,<br>compared to conventional extraction processes. If successful,<br>Infinium will deploy low-cost and highly energy-efficient<br>aluminum-production cells in mini-mills or large plants.                          |

## METALS Technical Approach: Primary Production - Magnesium

|               |             | Lead          |   |
|---------------|-------------|---------------|---|
| Lead Research |             | Organization  | Project Title   |
| Organization  | Amount      | Location      | Decient Description   |
|               |             | (City, State) | Project Description   |
| Pacific       | \$2,430,000 | Richland,     | Catalyzed Organo-Metathetical Process                           |
| Northwest     |             | WA            | for Magnesium Production from Seawater                          |
| National      |             |               | Pacific Northwest National Laboratory (PNNL) will extract       |
| Laboratory    |             |               | magnesium salt from seawater and convert it to magnesium        |
|               |             |               | using a metal-organic process. As seawater contains low         |
|               |             |               | concentrations of magnesium, extraction is traditionally a      |
|               |             |               | difficult, energy-intensive, and expensive process. PNNL's      |
|               |             |               | novel metal-organic process could enable more efficient         |
|               |             |               | magnesium extraction from seawater.                             |
| University of | \$3,600,000 | Boulder,      | Hybrid Solar/Electric Carbothermal Reactor                      |
| Colorado      |             | СО            | for Magnesium Production  |
|               |             |               | The University of Colorado will develop a new gasification      |
|               |             |               | process that uses concentrated solar power to produce           |
|               |             |               | magnesium and synthesis gas (syngas), a precursor for           |
|               |             |               | synthetic gasoline. The University of Colorado is using a novel |
|               |             |               | quenching process to enable a gas-to-solid magnesium phase      |
|               |             |               | change. Current magnesium production is energy intensive        |
|               |             |               | and produces substantial carbon dioxide emissions. The          |
|               |             |               | University of Colorado's multi-faceted, renewable-energy-       |
|               |             |               | powered approach to magnesium production could reduce           |
|               |             |               | carbon dioxide emissions and lower costs, while also creating a |
|               |             |               | synthetic fuel.   |
| Valparaiso    | \$2,301,379 | Valparaiso,   | Solar-Thermal Electrolytic Production                           |
| University    |             | IN            | of Magnesium from Ore   |
|               |             |               | Valparaiso University will develop a novel electrochemical cell |
|               |             |               | that produces magnesium using solar-thermal energy and          |
|               |             |               | electrochemical processes. Valparaiso's advanced hybrid cell    |
|               |             |               | uses concentrated solar power for heating, minimizing the       |
|               |             |               | electricity requirement for magnesium separation.               |
|               |             |               | Valparaiso's system could reduce carbon dioxide emissions       |
|               |             |               | and electricity consumption compared to conventional            |
|               |             |               | magnesium production.   |

## METALS Technical Approach: Primary Production - Titanium

| Lead Research<br>Organization    | Amount       | Lead<br>Organization<br>Location | Project Title<br>Project Description   |
|----------------------------------|--------------|----------------------------------|--|
| Case                             | \$675,573    | (City, State)<br>Cleveland,      | Electrowinning Titanium Using Segmented Diaphragms   |
| Western<br>Reserve<br>University |              | ОН                               | Case Western Reserve University will develop a single-step<br>process to produce titanium from titanium salts using a multi-<br>membrane electrochemical reactor. The thin, non-polar<br>membrane technology prevents undesirable chemical<br>reactions, enabling simpler conversion of titanium salts to<br>titanium powder. Conventional titanium production methods<br>are costly and energy intensive, limiting the widespread use of<br>titanium, a versatile and durable structural metal. If successful,<br>Case Western's single-step titanium production process will<br>require one-third of the energy at a fraction of the cost, |
| iMetalx                          | \$ 2,680,160 | Pittsburgh,                      | compared to conventional production methods.<br>Electrowinning Titanium Using the Chinuka Process  |
| Group, LLC                       |              | PA                               | iMetalx will develop an advanced electrochemical system to<br>produce titanium from titania using abundant, domestic ore.<br>Titanium is a versatile and robust structural metal; however,<br>widespread adoption for advanced energy and consumer<br>applications has been limited due to the high cost of<br>production. If successful, the method developed by iMetalx's<br>will reduce energy inputs and cost compared to current<br>titanium production methods.  |
| SRI<br>International<br>(SRI)    | \$907,853    | Menlo Park,<br>CA                | Direct Low-Cost Production of Titanium Alloys<br>SRI International will develop an advanced thermal chemical<br>reactor that converts titanium and other metal chlorides to<br>titanium alloys in a single step. The reaction between<br>hydrogen and metal chlorides to produce titanium alloys<br>would eliminate a series of expensive and energy-intensive<br>melting steps used in current conversion processes. If<br>successful, SRI's process will reduce the cost, energy<br>consumption, and carbon dioxide emissions associated with<br>titanium alloy production.  |

| Lead Research<br>Organization                | Amount      | Lead<br>Organization<br>Location  | Project Title<br>Project Description  |
|--|-------------|-----------------------------------|---|
| Titanium<br>Metals<br>Corporation<br>(TIMET) | \$1,658,305 | (City, State)<br>Henderson,<br>NV | Electrochemical Cell for Advanced Titanium Production<br>Titanium Metals Corporation (TIMET) will use a multi-step<br>system that converts titanium ores to titanium using a series<br>of thermo- and electro-chemical processes. Today, the<br>widespread adoption of titanium, a versatile and durable<br>structural metal, has been limited in advanced energy and<br>consumer applications due to costly and energy-intensive<br>production methods. If successful, TIMET's titanium<br>production technique will significantly reduce cost by requiring<br>one-third of the energy of conventional production processes. |
| University<br>of Utah                        | \$3,000,000 | Salt Lake<br>City,<br>UT          | Novel Chemical Pathway for Titanium Production<br>The University of Utah will develop a new, simplified thermo-<br>chemical manufacturing method to produce titanium from<br>abundant, domestic ores. The new thermo-chemical process<br>uses magnesium hydride for titanium extraction, requiring less<br>energy than conventional methods and simplifying the<br>extraction process. If successful, the University of Utah's<br>process will significantly reduce energy inputs and costs<br>compared to conventional titanium production methods.  |

## METALS Technical Approach: Primary Production – Thermal Storage

| Lead Research | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title   |
|---------------|-------------|---|---|
| Organization  |             |   | Project Description   |
| Research      | \$3,121,400 | Research  | High-Temperature Transfer   |
| Triangle      |             | Triangle Park,                                    | and Storage System for Light Metal Production   |
| Institute     |             | NC  | Research Triangle Institute (RTI) will develop a thermal storage<br>system for metal manufacturing facilities that can replace<br>fossil fuels as a source of energy. RTI's technology uses a high-<br>temperature heat-transfer system to store and transport<br>thermal energy from a concentrated solar power system to a<br>smelting reactor. If successful, RTI's system will enable the use<br>of low-cost, renewable energy in domestic metals<br>manufacturing. |

## METALS Technical Approach: Secondary Production - Recycling

| Lead Research<br>Organization | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title<br>Project Description   |
|-------------------------------|-------------|---|--|
| BlazeTech,                    | \$274,026   | Woburn,   | Hyperspectral Imaging for Identification of Light Metal Alloys   |
| Corp.                         |             | MA  | BlazeTech will develop an advanced sorting technology that<br>uses the reflection from a specialized lamp to distinguish<br>multiple grades of light metal scrap. Current light metal sorting<br>technologies are inefficient and costly because they cannot<br>distinguish between different types of alloys. If successful,<br>BlazeTech's sorting technique will enable the recycling of<br>typically discarded light metal scrap. This advanced reflection<br>recycling process could reduce the energy consumption,<br>carbon dioxide emissions, and costs associated with<br>manufacturing light metal components. |
| Energy                        | \$3,000,000 | Plainfield,                                       | Integrated Minimill to Produce Aluminum from Scrap   |
| Research<br>Company           |             | NJ  | Energy Research Company (ERCo) will develop a new<br>automated manufacturing process that can produce a finished<br>product from mixed metal scrap in a single processing step.<br>Unlike most current approaches, ERCo's process can<br>distinguish and sort multiple grades of aluminum scrap for<br>recycling. Aluminum production from scrap is currently a<br>costly, energy-intensive process that creates significant carbon<br>dioxide emissions. If successful, ERCo's new manufacturing<br>process will enable the efficient and cost-effective sorting and<br>recycling of scrap aluminum.                    |
| PARC                          | \$992,129   | Palo Alto,<br>CA                                  | Electrochemical Probe for Rapid Scrap Metal Sorting<br>PARC will develop a new electrochemical diagnostic probe that<br>identifies the composition of light metal scrap for efficient<br>sorting. Current sorting technologies for light metals are costly<br>and inefficient because they cannot distinguish between<br>different metals. If successful, PARC's electro-chemical<br>diagnostic probe will enable the recycling of typically<br>discarded light metal scrap.   |

| Lead Research<br>Organization | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title<br>Project Description  |
|-------------------------------|-------------|---|---|
| Phinix,<br>LLC                | \$608,863   | Lexington,<br>KY                                  | Electrochemical Extraction of<br>High Quality Magnesium from Scrap  |
|                               |             |   | Phinix will develop a new electrochemical cell technology that<br>can recover high-quality magnesium from aluminum-<br>magnesium scrap. This technology could lower costs, energy<br>inputs, and emissions from magnesium production, expanding<br>its use in transportation industries. By recovering and reusing<br>aluminum-magnesium scrap, Phinix's technology could reduce<br>the need for manufacturing new, expensive primary metals<br>while developing a sustainable and low-cost advanced<br>manufacturing process.                |
| UHV                           | \$416,189   | Fort Worth,                                       | Low-Cost In-Line X-Ray Fluorescence Scrap Metal Sorter  |
| Technologies,<br>Inc.         |             | ТХ  | UHV Technologies will develop an innovative X-ray fluorescent<br>sorting technology that can distinguish multiple grades of<br>scrap metals. Current light metal sorting technologies cannot<br>distinguish between different types of alloy grades. If<br>successful, UHV Technologies' sorting technique will enable<br>the recycling of typically discarded light metal scrap by<br>analyzing the electromagnetic spectrum emitted from scrap<br>metal to enable the identification of the composition of<br>different alloys for sorting. |
| University<br>of Utah         | \$1,000,000 | Salt Lake<br>City,                                | Electromagnetic Sorting of Light Metals and Alloys  |
|                               |             | UT  | The University of Utah will develop a new electromagnetic<br>light metal sorting technology that can distinguish multiple<br>grades of readily available scrap metals. Current light metal<br>sorting technologies cannot distinguish between different<br>types of alloy grades. If successful, the University of Utah's<br>sorting technique will enable the recycling of typically<br>discarded light metal by determining alloy grades, which could<br>reduce the need for manufacturing new metals.                                      |

# ARPA-E PROJECT SELECTIONS – REDUCING EMISSIONS USING METHANOTROPHIC ORGANISMS FOR TRANSPORTATION ENERGY (REMOTE)

These projects have been selected for negotiation of awards; final award amounts may vary.

| Lead Research<br>Organization                  | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title<br>Project Description  |
|--|-------------|---|---|
| Arzeda<br>Corp.                                | \$1,000,000 | Seattle,<br>WA                                    | New Metalloenzymes for Methane Activation<br>Arzeda will leverage computational algorithms to engineer<br>proteins for the creation of new synthetic enzymes to activate<br>methane, the first step in producing a liquid fuel from natural<br>gas. These completely new enzymes could transform the way<br>methane is activated and will be more efficient than current<br>chemical and biological approaches. If successful, Arzeda's<br>technology could efficiently activate methane for cost-<br>effective fuel production, and it could also be applied in a<br>variety of other synthesis processes for fuels and chemicals. |
| Lawrence<br>Berkeley<br>National<br>Laboratory | \$3,500,000 | Berkeley,<br>CA                                   | Variety of other synthesis processes for ideas and chemicals.Enzyme Engineering for Direct Methane ConversionLawrence Berkeley National Laboratory (LBNL) will re-engineer<br>an enzyme to directly "methylate," or bind methane with, a<br>common fuel precursor in order to produce a liquid fuel.Methylation, which does not require the input of oxygen or<br>energy, is a new technique that has never been applied for<br>efficient methane conversion. If successful, LBNL's process will<br>enable low-cost, energy-efficient fuel production from natural<br>gas.  |
| MOgene<br>Green Chemicals<br>LLC               | \$1,449,327 | St. Louis,<br>MO                                  | Sunlight-Assisted Conversion of Methane to Butanol<br>MOgene Green Chemicals will engineer a photosynthetic<br>organism for methane conversion that can use energy from<br>both methane and sunlight. The use of renewable and readily<br>available solar energy reduces equipment costs and<br>greenhouse gas emissions. If successful, MOgene will develop<br>a low-carbon-dioxide-emissions technology that produces a<br>liquid fuel from natural gas and sunlight through efficient, low-<br>cost biological conversion.   |

#### REMOTE Technical Approach: *High-Efficiency Biological Methane Activation*

| Lead Research             | -           | Lead<br>Organization      | Project Title   |
|---------------------------|-------------|---------------------------|---|
| Organization              | Amount      | Location<br>(City, State) | Project Description   |
| Northwestern              | \$818,132   | Evanston,                 | Multicopper Oxidases for Methane Activation   |
| University                |             | IL                        | Northwestern University will engineer an entirely new<br>biocatalyst for highly efficient methane activation, the first<br>step required to convert methane into a liquid fuel.<br>Northwestern University will adjust and repurpose chemical<br>properties within a certain class of natural enzymes that utilize<br>copper to activate methane without the input of energy.<br>Northwestern University's process could provide a low-cost<br>solution to the first step of methane conversion, which has<br>been a long-standing technological challenge.   |
| Pennsylvania              | \$3,000,000 | University                | Methane-to-Acetate Pathway for Liquid Fuel  |
| State<br>University       | , . , ,     | Park,<br>PA               | Penn State University will engineer a biocatalyst that makes<br>use of methane as a co-reactant to generate chemical<br>precursors of liquid fuels. Unlike other conversion approaches,<br>this approach will explore reversing a naturally occurring<br>sequence of reactions that produces methane from acetate. If<br>successful, Penn State's technology will enable cost-effective,<br>energy-efficient, and carbon-efficient conversion of natural gas<br>to liquid fuels.  |
| University of<br>Michigan | \$3,000,000 | Ann Arbor,<br>MI          | Anaerobic Bioconversion of Methane to Methanol<br>The University of Michigan will create a biological approach to<br>activate methane, which is the first step in producing a liquid<br>fuel from natural gas. Current approaches to methane<br>activation require the addition of energy and oxygen, but the<br>University of Michigan will engineer a methane-activation<br>pathway inside of a methanogenic, or methane generating,<br>microorganism that eliminates the need for supplemental<br>inputs. If successful, the University of Michigan's biocatalyst<br>will convert natural gas to a liquid fuel in a manner that is<br>more efficient and cost effective than existing biological<br>processes. |

## **REMOTE Technical Approach:** *High-Efficiency Biological Synthesis of Liquid Fuels*

|                               |             | Lead                             |   |
|-------------------------------|-------------|----------------------------------|---|
| Lead Research<br>Organization | Amount      | Lead<br>Organization<br>Location | Project Title   |
| organization                  |             | (City, State)                    | Project Description   |
| Coskata,                      | \$941,726   | Warrenville,                     | Activated Methane to Butanol  |
| Inc.                          |             | IL                               | Coskata will engineer methanol fermentation into an<br>anaerobic microorganism to enable a low-cost biological<br>approach for liquid fuel production. If successful, Coskata's<br>technology will enable the rapid microbial conversion of<br>methanol to fuel with high energy efficiency and low carbon<br>dioxide emissions. In addition, Coskata's technology could<br>integrate with other technologies that ferment methane to<br>methanol.  |
| Massachusetts<br>Institute of | \$3,000,000 | Cambridge,<br>MA                 | Single-Step Methane Activation and<br>Conversion to Liquid Fuels  |
| Technology                    |             |                                  | Massachusetts Institute of Technology (MIT) will develop a<br>comprehensive process to directly convert methane into a<br>usable transportation fuel in a single step. MIT's unique<br>technologies integrate methane activation and fuel<br>synthesis—two distinct processes required to convert<br>methane that are typically performed separately—into one<br>step. If successful, MIT's approach will result in a cost-effective<br>approach to access natural gas in remote locations. |
| University of<br>California,  | \$1,500,000 | Davis,<br>CA                     | Biosynthetic Conversion of Ethylene to Butanol  |
| Davis                         |             |                                  | The University of California, Davis (UCD) will engineer new<br>biological pathways for bacteria to convert ethylene to a liquid<br>fuel. Currently, ethylene is readily available and used by the<br>chemicals and plastics industries to produce a wide range of<br>useful products, but it cannot be converted to fuels<br>economically. If successful, UCD's new biocatalyst would<br>enable cost-effective conversion of ethylene into an existing<br>infrastructure-compatible fuel.   |
| University of                 | \$3,000,000 | Los Angeles,                     | Efficient Condensation Cycle for Methanol to Liquid Fuel  |
| California,<br>Los Angeles    |             | CA                               | University of California, Los Angeles (UCLA) will develop a<br>unique, non-natural pathway for highly efficient synthesis of<br>fuel. Unlike other approaches, this new technology uses<br>metabolic components that avoid carbon-dioxide-generating<br>(decarboxylating) reactions. If successful, UCLA's technology<br>will convert methanol to butanol efficiently, without emitting<br>carbon dioxide, and it could easily integrate with advances in<br>upstream methane activation.   |

| Lead Research<br>Organization | Amount      | Lead<br>Organization<br>Location<br>(City, State) | Project Title<br>Project Description   |
|-------------------------------|-------------|---|--|
| University of<br>Delaware     | \$3,000,000 | Newark,<br>DE                                     | Engineered Bioconversion of Methanol to Liquid Fuel<br>The University of Delaware seeks to engineer a synthetic<br>methylotrophic organism to utilize new metabolic pathways to<br>convert methanol into butanol while recapturing and reusing<br>generated carbon dioxide. Unlike current bioconversion<br>processes, The University of Delaware's technology offers<br>greater efficiency without carbon dioxide emissions during the<br>conversion of methanol to butanol, an infrastructure-<br>compatible liquid transportation fuel. |

## **REMOTE Technical Approach:** *Process Intensification Approaches for Biological Methane Conversion*

| Lead Research<br>Organization | Amount      | Lead<br>Organization<br>Location | Project Title  |
|-------------------------------|-------------|----------------------------------|--|
| organization                  |             | (City, State)                    | Project Description  |
| Calysta                       | \$797,646   | Menlo Park,                      | New Bioreactor Designs for Rapid Methane Fermentation  |
| Energy                        |             | CA                               | Calysta Energy will develop new bioreactors to enable efficient<br>biological conversion of methane into liquid fuels. Unlike<br>current technologies, Calysta's new bioreactor designs<br>facilitate the delivery of methane to the biocatalyst for rapid<br>fermentation of methane to transportation fuel. If successful,<br>Calysta's technology would enable low-cost conversion of<br>natural gas at remote sources, while reducing energy inputs<br>associated with liquid fuel production.   |
| Groonlight                    | \$4,500,000 | Medford,                         | Cell-Free Bioconversion for Access   |
| GreenLight<br>Biosciences     | \$4,500,000 | MA                               | to Remote Natural Gas Sources  |
|                               |             |                                  | GreenLight Biosciences will develop a cell-free bioreactor that<br>can convert large quantities of methane to fuel in one step.<br>This technology integrates the rapid conversion rate of<br>chemical catalysis into a single-step bioconversion process that<br>does not use traditional cells. If successful, it could enable<br>mobile fermenters to access remote sources of natural gas for<br>low-cost conversion of natural gas to liquid fuel.  |
| LanzaTech,                    | \$4,000,000 | Roselle,                         | Bioreactor Design to Improve the Transfer  |
| Inc.                          |             | IL                               | of Methane to Microorganisms   |
| Oregon State<br>University    | \$630,867   | Corvalis,<br>OR                  | LanzaTech will design a gas fermentation system that will<br>significantly improve the rate at which methane gas is<br>delivered to a biocatalyst. Current gas fermentation processes<br>are not cost effective compared to other gas-to-liquid<br>technologies because they are too slow for large-scale<br>production. If successful, LanzaTech's system will process large<br>amounts of methane at a high rate, reducing the energy inputs<br>and costs associated with methane conversion.<br>Bio-Lamina-Plates Bioreactor for Enhanced<br>Mass and Heat Transfer |
|                               |             |                                  | Oregon State University (OSU) will develop an entirely new<br>bioreactor design to enable low-cost conversion of methane to<br>liquid fuel. OSU's ultra-thin, stacked plate system will improve<br>the overall rate at which methane is transferred to<br>biocatalysts. If successful, this new design could provide a low-<br>cost alternative to current state-of-the-art methane<br>bioreactors.  |